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### **Human Rights Council**

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Agenda item 3
Promotion and protection of all human rights, civil, political, economic, social and cultural rights, including the right to development

Report of the Special Rapporteur on extrajudicial, summary or arbitrary executions\*

Addendum

Observations on communications transmitted to Governments and replies received

GE.17-08887(E)





<sup>\*</sup> Reproduced as received.

### A/HRC/35/23/Add.2

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### I. Introduction

- 1. The present report contains observations by the Special Rapporteur on extrajudicial, summary or arbitrary executions on communications sent between 1 March 2016 and 28 February 2017 and responses received from States and other actors between 1 May 2016 and 30 April 2017. The report, including its statistics and analysis, does not provide an overview of all violations to the right to life occurring in the reporting period, only of those addressed in the communications sent by the Special Rapporteur during that period.
- 2. During the period under review, the Special Rapporteur sent 98 communications to 45 States and one other actor. 92 communications were sent jointly with other mandates, while six communications were sent by this mandate alone. Of all communications sent, 63 were urgent appeals and 30 were allegation letters.
- 3. The Special Rapporteur received responses to 39 out of 98 communications sent within the reporting period. She thanks all Governments who have replied to communications for their cooperation. 59 of the communications are yet to be responded to. In this period, the Special Rapporteur also received replies to 11 previous communications, i.e. sent prior to the reporting period, for which she is grateful. This means that the overall timely response rate for communications sent by this mandate was approximately 40 per cent, six per cent lower compared to the previous reporting period. This rate is significantly lower than the system-wide reply rate for special procedures communications (55 per cent in 2016). Moreover, it should be noted that some of the responses included in this number are also purely procedural in nature.
- 4. In its resolution 26/12, the Human Rights Council urged States "[t]o cooperate with and assist the Special Rapporteur in the performance of his or her tasks, to supply all necessary information requested by him or her and to react appropriately and expeditiously to his or her urgent appeals, and those Governments that have not yet responded to communications transmitted to them by the Special Rapporteur to do so without further delay". Therefore, the Special Rapporteur reiterates her appeal to all Governments to respond to communications in a timely manner so as to cooperate with her mandate efficiently in accordance with Human Rights Council Resolution 26/12.
- 5. This report compiles in two tables the correspondence sent and received during the period under review: Tabulation (A) of cases transmitted and replies received to communications sent during the reporting period, and Tabulation (B) of cases transmitted to States concerning alleged violations of death penalty safeguards. At the end of each tabulation, the Special Rapporteur offers her observations on the communications sent and the replies received during the reporting period.
- 6. The full text of each communication sent and reply received during the reporting period can be found in the online communications database, available at: https://spcommreports.ohchr.org/Tmsearch/TMDocuments.

# II. Tabulation (A) of cases transmitted and replies received to communications sent during the reporting period

7. In Tabulation (A) all communications have been grouped by country, with countries listed alphabetically according to their names in English. Each communication is referenced as urgent appeal (UA), allegation letter (AL), joint urgent appeal (JUA) or joint allegation letter (JAL). This is followed by the date of transmission of the communication, the case number and, when applicable, the reply by the Government or non-State actor.

### A. Violations alleged

- 8. In Tabulation (A) on communications sent and replies received, the violations are classified into the following categories, using the short versions in parentheses:
  - (a) Non-respect of international standards on safeguards and restrictions relating to the imposition of capital punishment ("Death penalty safeguards");
  - (b) Death threats and fear of imminent extrajudicial executions by State officials, paramilitary groups, or groups cooperating with or tolerated by the Government, as well as unidentified persons who may be linked to the categories mentioned above, when the Government is failing to take appropriate protection measures ("Death threats");
  - (c) Deaths in custody owing to torture, neglect, or the use of force, or fear of death in custody due to life-threatening conditions of detention ("Deaths in custody");
  - (d) Deaths due to the use of force by law enforcement officials or persons acting in direct or indirect compliance with the State, when the use of force is inconsistent with the criteria of absolute necessity and proportionality ("Excessive force");
  - (e) Deaths due to attacks or killings by security forces of the State, or by paramilitary groups, death squads, or other private forces cooperating with or tolerated by the State ("Attacks or killings");
  - (f) Violations of the right to life during armed conflict, especially of the civilian population and other non-combatants, contrary to international humanitarian law ("Armed conflict");
  - (g) Expulsion, refoulement, or return of persons to a country or a place where their lives are in danger ("Expulsion");
  - (h) Lack of investigation or accountability, leading to impunity, lack of compensation or concerns for the rights of victims ("Impunity").
  - (i) Concerns about a legislative framework ("Legislation").

#### B. Character of replies received

- 9. The replies received have been classified according to the following six categories designed to assist the Human Rights Council in its task of evaluating the responses received to the communications sent within the reporting period and the effectiveness of the mandate:
  - (a) "No response" denotes the absence of a response to a communication sent within the reporting period;
  - (b) "Recent communication" denotes the absence of a response to a communication sent within the past 60 days<sup>1</sup>;
  - (c) "Acknowledgement of receipt" refers to a reply acknowledging receipt that the communication was received and/or that it has been transmitted to the relevant State authorities;

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<sup>&</sup>lt;sup>1</sup> This concerns (Joint) Allegations Letters only.

- (d) "Addresses some substantive issues" characterizes a reply that provided information on certain substantive issues raised in the communication;
- (e) "Substantive response" denotes a reply that is responsive to the allegations and that substantively clarifies the alleged facts. It does not, however, imply that the action taken necessarily complies with international human rights law.
- (f) "Translation awaited" indicates that a response has been received, but has not yet been translated by the relevant services of the United Nations.

### C. Tabulation (A)

Country	Type of communication*	Date and case	Subjects concerned	Reply and type of reply	Violations alleged
Afghanistan	JUA	03/05/2016	Group of individuals (prisoners)	No response	Death penalty safeguards
		(AFG 1/2016)			
Bahrain	JAL	15/08/2016	1 male	Addresses some substantive	Death penalty safeguards
		(BHR 6/2016)		issues	
				14/10/2016	
	JUA	19/01/2017 (BHR 1/2017)	5 males	Addresses some substantive issues	Death penalty safeguards
		(BIIK 1/2017)		21/02/2017	
Danaladaah	TITA	09/04/2016	1		Death manufacture for amounts
Bangladesh	JUA	08/04/2016	1 male	Acknowledgement of receipt	Death penalty safeguards
		(BGD 1/2016)		15/04/2016	
	JUA	28/04/2016	Group of individuals (protesters)	Acknowledgement of receipt	Excessive force; attacks or killings
		(BGD 2/2016)		02/05/2016	
	JAL	31/05/2016 (BGD 3/2016)	2 individuals (human rights defenders – LGBTI rights)	No response	Attacks or killings; death threats
	JUA	29/07/2016	1 male	No response	Death penalty safeguards
		(BGD 5/2016)			
	JAL	17/02/2017	1 male (journalist/human rights	Recent communication	Attacks or killings
	0112	(BGD 1/2017)	defender)	Recent communication	
D '1	TAT	JAL 20/09/2016 Group of individuals (human rights defenders, including lan	Group of individuals (human rights defenders, including land	A 11	Attacks or killings; death threats
Brazil	JAL				
			rights defenders, journalists and	21/02/2017	

<sup>\*</sup> Type of Communication: UA: Urgent Appeal; JUA: Joint Urgent Appeal; AL: Letter of Allegation; JAL: Joint Letter of Allegation.

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Country	Type of communication*	Date and case	Subjects concerned	Reply and type of reply	Violations alleged
	UA	10/02/2017	Group of individuals	No response	Attacks or killings
		(BRA 2/2017)			
Bolivia	JAL	16/02/2017	Legislation	Recent communication	Legislation
		(BOL 1/2017)			
Burundi	JUA	18/03/2016	Group of individuals (human	No response	Death threats
		(BDI 5/2016)	rights defenders)		
	JUA	01/02/2017	Group of individuals (human	No response	Attacks or killings; death
		(BDI 1/2017)	rights defenders)		threats
Cambodia	JAL	12/07/2016	1 male (human rights defender/political activist)	Substantive response	Attacks or killings
		(KHM 4/2016)		25/08/2016	Ç
Cameroon	JUA	10/02/2017	1 male (human rights defender)	No response	Excessive force
		(CMR 3/2017)			
Colombia	JUA	11/03/2016	Group of individuals	Substantive response	Attacks or killings; death
		(COL 2/2016)		18/01/2017	threats; impunity
	JUA	15/04/2016	2 individuals (human rights defenders)	Substantive response	Attacks or killings; death threats
		(COL 4/2016)		26/05/2016	
	JUA	02/05/2016	Group of individuals (human rights defenders)	Addresses some substantive	Death threats
		(COL 5/2016)		issues	
				09/11/2016	
	JAL	04/07/2016	Group of individuals (indigenous community)	Acknowledgement of receipt	Excessive force; attacks or killings
		(COL 6/2016)		29/08/2016	
				Substantive response	
				12/10/2016	
	JUA	27/10/2016	5 individuals (indigenous	Addresses some substantive	Attacks or killings

Country	Type of communication*	Date and case (COL 7/2016)	Subjects concerned community)	Reply and type of reply issues 02/12/2016	Violations alleged
Cuba	JUA	20/04/2016 (CUB 1/2016)	1 female (political activist)	Substantive response 22/06/2016	Death threats
Democratic Republic of the Congo	JAL	19/05/2016 (COD 2/2016)	1 male (human rights defender)	No response	Attacks or killings
	JUA	22/07/2016 (COD 6/2016)	1 female	No response	Attacks or killings; impunity
	JUA	22/09/2016 (COD 7/2016)	Group of individuals (protesters)	No response	Excessive force; attacks or killings
	JUA	21/12/2016 (COD 10/2016)	Group of individuals (minority)	No response	Attacks or killings; impunity
Egypt	JUA	24/02/2017 (EGY 2/2017)	12 males	No response	Death penalty safeguards; deaths in custody
Ethiopia	JUA	10/05/2016 (ETH 1/2016)	Group of individuals (abducted children)	No response	Attacks or killings
	JUA	02/09/2016 (ETH 2/2016)	Group of individuals (protesters)	No response	Excessive force; attacks or killings
	JUA	07/10/2016 (ETH 5/2016)	Group of individuals (protesters)	No response	Excessive force; attacks or killings
	JAL	26/10/2016 (ETH 4/2016)	Group of individuals (prisoners)	No response	Attacks or killings; deaths in custody
	JUA	29/12/2016 (ETH 7/2016)	Group of individuals (abducted children)	No response	Attacks or killings

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Country	Type of communication*	Date and case	Subjects concerned	Reply and type of reply	Violations alleged
France	JAL	17/02/2017	3 males (people of African descent)	Substantive response	Excessive force
		(FRA 1/2017)		19/04/2017	
Gabon	JAL	22/09/2016	Group of individuals (protesters,	Addresses some substantive	Excessive force; attacks or
		(GAB 1/2016)	human rights defenders)	issues	killings
				05/10/2016	
				Substantive response	
				18/11/2016	
Gambia	JAL	18/05/2016 (GMB 1/2016)	1 male (protester)	No response	Attacks or killings, Excessive force; deaths in custody, death threats
Guatemala	JAL	08/02/2017 (GTM 7/2016)	Group of individuals (human rights defenders)	Addresses some substantive issues	Attacks or killings; death threats
				01/03/2017	
Haiti	JAL	26/10/2016	Group of individuals (LGBT)	No response	Death threats
		(HTI 3/2016)			
	JUA	19/01/2017	1 male (human rights defender)	No response	Death threats
		(HTI 2/2017)			
Honduras	JUA	JA 08/03/2016 (HND 2/2016)	1 male and 1 female (human rights defenders-environment)	Addresses some substantive issues	Attacks or killings; death threats
				14/03/2016	
				Addresses some substantive response	
				01/04/2016	
	JUA	18/03/2016	1 male and a group of individuals (human rights defender- environment)		Attacks or killings; death
		(HND 3/2016)		issues	threats
				01/04/2016	
	JUA	03/11/2016	4 individuals and two groups of individuals (human rights defenders, including land rights	No response	Attacks or killings; death threats; excessive force

Country	Type of communication*	Date and case (HND 9/2016)	Subjects concerned and indigenous rights defenders)	Reply and type of reply	Violations alleged
India	JAL	28/07/2016 (IND 5/2016)	Group of individuals (protesters)	Substantive response 27/09/2016	Excessive force; attacks or killings
Indonesia	JAL	24/03/2016 (IDN 1/2016)	Group of individuals	No response	Excessive force; attacks or killings
	JUA	13/05/2016 (IDN 5/2016)	Group of individuals (between 10 to 15 prisoners)	No response	Death penalty safeguards
	JOL	21/06/2016 (IDN 6/2016)	Legislation	No response	Legislation
	JUA	27/07/2016 (IDN 7/2016)	Group of individuals (15 prisoners)	No response	Death penalty safeguards
Iran, Islamic Republic of	JUA	08/04/2016 (IRN 6/2016)	1 male	No response	Death penalty safeguards
	JUA	06/05/2016 (IRN 11/2016)	1 male	Addresses some substantive issues	Death penalty safeguards
	JUA	13/05/2016 (IRN 13/2016)	1 male	04/01/2017 Addresses some substantive issues 26/10/2016	Death penalty safeguards
	JUA	01/06/2016 (IRN 18/2016)	1 male	Addresses some substantive issues 17/11/2016	Death penalty safeguards
	JUA	05/08/2016 (IRN 22/2016)	1 male	No response	Death penalty safeguards

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Country	Type of communication*	Date and case	Subjects concerned	Reply and type of reply	Violations alleged
	JUA	12/10/2016	1 female	Addresses some substantive issues	Death penalty safeguards
		(IRN 27/2016)		17/11/2016	
	JUA	28/12/2016	3 males	Addresses some substantive issues	Death penalty safeguards
		(IRN 33/2016)		31/01/2017	
	JUA	16/01/2017	1 male	No response	Death penalty safeguards
		(IRN 2/2017)			
	JUA	31/01/2017	1 male	Addresses some substantive issues	Death penalty safeguards
		(IRN 5/2017)		07/03/2017	
Iraq	JAL	21/12/2016	Group of individuals	No response	Armed conflicts
		(IRQ 2/2016)			
Israel	JAL	30/03/2016	2 males (human rights defender)	No response	Excessive force; attacks or
		(ISR 5/2016)			killings; death threats
	JUA	15/04/2016	Group of individuals (human rights defenders)	No response	Death threats
		(ISR 6/2016)			
	JUA	18/07/2016	2 males (minors)	No response	Excessive force; death threats
		(ISR 7/2016)			
Kenya	JUA	26/05/2016	Group of individuals (protesters,	No response	Excessive force; attacks or killings
		(KEN 3/2016)	human rights defenders, journalists and political activists)		
	JAL	26/07/2016	3 males (including a human rights	No response	Attacks or killings; Excessive
		(KEN 4/2016)	lawyer and human rights defender)		force
Lesotho	JAL	29/07/2016	1 male (journalist)	Substantive response	Attacks or killings
		(LSO 2/2016)		22/09/2016	

Country	Type of communication*	Date and case	Subjects concerned	Reply and type of reply	Violations alleged
Malaysia	JUA	24/03/2016	3 males	No response	Death penalty safeguards
		(MYS 3/2016)			
	UA	23/02/2017	2 males	Recent communication	Death penalty safeguards
		(MYS 1/2017)			
Maldives	JUA	30/06/2016	1 male	No response	Death penalty safeguards
		(MDV 2/2016)			
Mauritania	JUA	28/11/2016	1 male (blogger)	No response	Death penalty safeguards
		(MRT 3/2016)			
Mexico	JUA	25/07/2016	1 male, 1 female and a group of	Substantive response	Attacks or killings
		(MEX 7/2016)	individuals (human rights defenders)	14/10/2016	
	JAL	15/08/2016	Group of individuals (protesters	Addresses some substantive	Excessive force; attacks or
		(MEX 6/2016)	and journalists)	issues	killings
				06/01/2017	
Mozambique	JAL	17/03/2016	2 males (political activist and human rights defender)	No response	Attacks or killings
		(MOZ 1/2016)			
	JAL	05/08/2016	Group of individuals(including children)	No response	Excessive force; attacks or killings
		(MOZ 3/2016)			
	JAL	25/08/2016	Group of individuals (human	Acknowledgement of receipt	Attacks or killings; death
		(MOZ 2/2016) rights defenders and political activists)	29/08/2016	threats	
Myanmar	JUA	05/10/2016	3 males	Addresses some substantive	Excessive force; attacks or
		(MMR 3/2016)		issues	killings
				22/12/2016	
	JUA	21/10/2016	Group of individuals (minorities)	Substantive response	Excessive force; attacks or killings
		(MMR 4/2016)		20/01/2017	KIIIIIgs
Other actors	JAL	31/05/2016	1 male (human rights defender-	No response	Attacks or killings

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Country	Type of communication*	Date and case (OTH 17/2016)	Subjects concerned environment)	Reply and type of reply	Violations alleged
Pakistan	JUA	16/03/2016 (PAK 6/2016)	1 female (human rights defender-LGBT)	No response	Attacks or killings; death threats; impunity
	JUA	26/09/2016 (PAK 9/2016)	1 male	No response	Death penalty safeguards
	AL	30/11/2016 (PAK 10/2016)	Legislation	No response	Legislation
Philippines	JAL	24/05/2016 (PHL 1/2016)	Group of individuals (indigenous community; land rights defenders; protesters)	No response	Excessive force; attacks or killings
	JUA	17/08/2016 (PHL 2/2016)	Group of individuals	No response	Excessive force; attacks or killings
	UA	21/12/2016 (PHL 4/2016)	Legislation	No response	Legislation
	AL	03/02/2017 (PHL 1/2017)	6 individuals	Acknowledgement of receipt 10/02/2017	Excessive force; attacks or killings
	AL	28/02/2017 (PHL 3/2017)	9 individuals	Recent communication	Excessive force; attacks or killings
Saudi Arabia	JUA	22/03/2016 (SAU 2/2016)	3 males	Acknowledgement of receipt 28/07/2016	Death penalty safeguards
	JAL	01/09/2016 (SAU 5/2016)	6 males	Substantive response 17/01/2017	Death penalty safeguards
	JUA	21/11/2016 (SAU 7/2016)	1 male	Substantive response 17/01/2017	Death penalty safeguards

Country	Type of communication*	Date and case	Subjects concerned	Reply and type of reply	Violations alleged
Singapore	JUA	18/05/2016	1 male	Substantive response	Death penalty safeguards
		(SGP 3/2016)		27/06/2016	
	JUA	17/11/2016 (SGP 6/2016)	1 male	Addresses some substantive issues	Death penalty safeguards
		(301 0/2010)		25/01/2017	
South Africa	JAL	31/05/2016	1 male (human rights defender-	No response	Attacks or killings
		(ZAF 1/2016)	environment)		
	JUA	28/11/2016	Legislation, Group of individuals	No response	Legislation, death in custody
		(ZAF 2/2016)			
South Sudan	JUA	10/05/2016 (SSD 1/2016)	Group of individuals (minority)	No response	Attacks or killings
Sudan	JUA	03/05/2016	Group of individuals (prisoners)	No response	Death penalty safeguards
		(SDN 3/2016)			
	JUA	25/08/2016	4 males and 2 females (human	No response	Death penalty safeguards
		(SDN 6/2016)	rights defenders)		
Thailand	JUA	13/06/2016	3 males, 3 females and a group of	No response	Attacks or killings; death
		(THA 2/2016)	individuals (human rights defenders, including environmental and land rights defenders)		threats
Uganda	JAL	29/06/2016	6 individuals( including human	Acknowledgement of receipt	Attacks or killings; death
		(UGA 5/2016)	rights defenders)	14/07/2016	threats, impunity
USA	JUA	28/10/2016	1 male	Substantive response	Death penalty safeguards
		(USA 11/2016)		13/12/2016	
	JUA	03/11/2016	1 male	No response	Death penalty safeguards
		(USA 13/2016)			

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Country	Type of communication*	Date and case	Subjects concerned	Reply and type of reply	Violations alleged
Venezuela	JUA	24/03/2016 (VEN 3/2016)	28 persons	Substantive response 04/07/2016	Attacks or killings
	JOL	14/06/2016 (VEN 7/2016)	Legislation	Substantive response 17/08/2016	Legislation
Zambia	JAL	09/03/2016 (ZMB 1/2016)	4 individuals (albinism)	No response	Attacks or killings

## D. Replies received to communications sent outside the reporting period

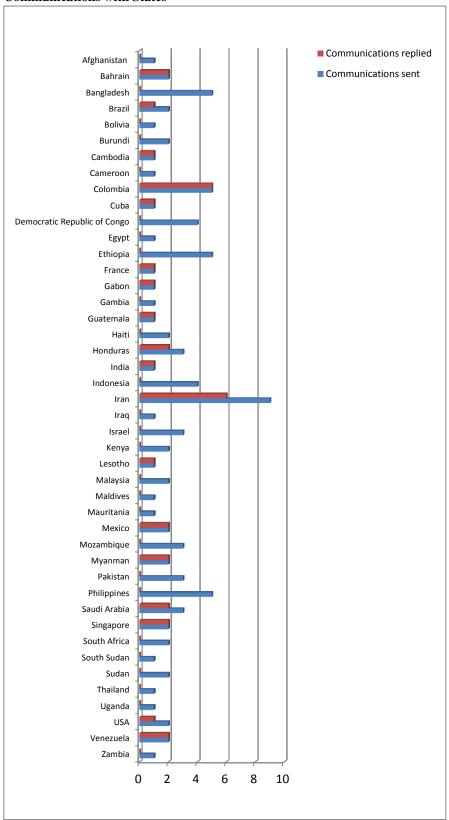
Country	Type of communication	Date	Subject(s) concerned	Reply	Violation(s) alleged
Democratic Republic of the Congo	JAL	10/12/2015	Group of individuals (including	Acknowledgement of receipt	Attacks or killings, impunity
		(COD 5/2015)	human rights defenders and political activists)	02/02/2016	
				Substantive response	
				15/02/2016	
				Substantive response	
				02/03/2016	
Guatemala	JAL	26/02/2016	Group of individuals	Substantive response	Death threats; Impunity
		(GTM 2/2016)		24/05/2016	
Iran	JUA	11/11/2015	3 males	Addresses some substantive	Death penalty safeguards
		(IRN 21/2015)		issues	
				04/05/2016	

	JUA	20/01/2016 (IBN 2/2016)	1 female	Addresses some substantive issues	Death penalty safeguards	
		(IRN 3/2016)		07/07/2016		
Saudi Arabia	JUA	30/09/2015	1 male	Substantive response	Death penalty safeguards	
		(SAU 5/2015)		04/05/2016		
Singapore	JUA	30/10/2015	1 male	Substantive response	Death penalty safeguards	
		(SGP 3/2015)		27/06/2016		
Sudan	JUA	20/01/2016	25 individuals	Substantive response	Death penalty safeguards, Fair trial concerns	
		(SDN 1/2016)		29/04/2016		
USA	AL	01/12/2015	Group of individuals	Substantive response	Attacks or killings	
		(USA 20/2015)		13/05/2016		
Venezuela	JAL	24/02/2016	1 male(human rights lawyer)	Substantive response	Attacks or killings	
		(VEN 2/2016)		07/09/2016		
Viet Nam	JUA	30/10/2015	2 males	Substantive response	Death penalty safeguards;	
		(VNM 1/2015)		19/04/2017	death in custody; death threats	
	JUA	25/11/2015	1 male and 1 female(human	Substantive response	Attacks or killings; death	
		(VNM 2/2015)	rights lawyers)	19/04/2017	threats	

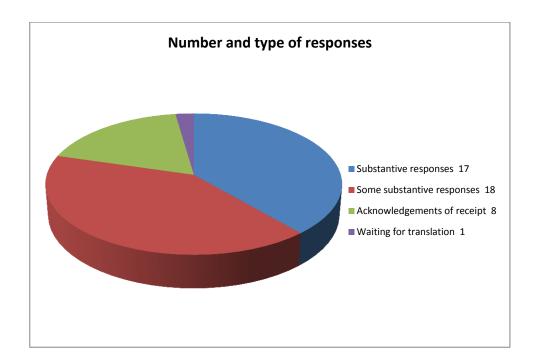
#### E. Observations on Tabulation (A)

- 10. During the reporting period, many States have replied to one or more of the communications addressed to them (21 out of 45). The Special Rapporteur would like to thank all States who have responded substantively and in a timely manner to all or some of the communications sent (21). The assessment of individual cases in which the Special Rapporteur has intervened is an essential part of her work and she is grateful for the collaboration that she has received. The Special Rapporteur would like to thank particularly the Governments of Bahrain, Colombia, Cuba, France, Gabon, Guatemala, India, Lesotho Mexico, Myanmar, Singapore and Venezuela for having replied substantively (addressing all or some of the questions raised) and within the required deadline to all the communications addressed to them in the reporting period.
- 11. A handful of States did not submit a timely reply to any of the communications sent, or have submitted merely an acknowledgement of receipt, including: Afghanistan, Bangladesh, Cameroon, Democratic Republic of Congo, Egypt, Ethiopia, The Gambia, Haiti, Indonesia, Iraq, Israel, Kenya, Malaysia, Maldives, Mauritania, Mozambique, Pakistan, Philippines, South Africa, South Sudan, Sudan, Thailand, Uganda and Zambia. The responses from some of these States have arrived after the required deadline and for that reason fall outside of the reporting period of the present report.
- 12. In all instances where no responses were received, the Special Rapporteur urges Governments to provide substantive responses as soon as possible.
- 13. To provide a global perspective on the communications with States during the reporting period, the table below reflects the number of communications sent to each State and the number of replies of a substantive nature received from them within the required deadline. Such replies comprise both substantive replies and replies that address some substantive issues. Acknowledgements of receipt are not considered as replies for the purpose of this table, as they do not provide an effective response to the questions raised in the cases transmitted to Governments.

### **Communications with States**

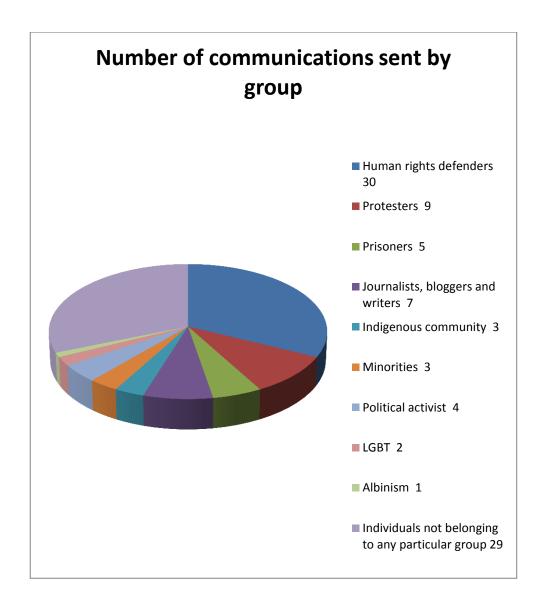


14. Of all communications sent to States, 17 received a substantive response, 18 received responses that addressed some substantive issues, eight received acknowledgements of receipt that were not followed by a substantive reply, and one is in translation. As mentioned above, 54 communications did not receive any type of response.



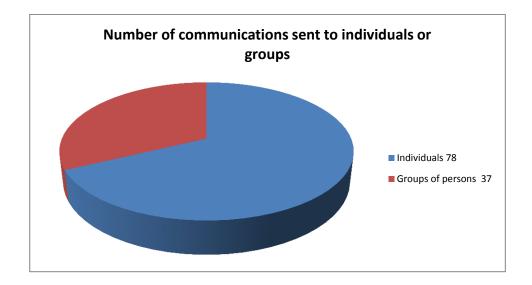
- 15. The tabulation of communications sent during the reporting period indicates the groups of people addressed in the communications sent by the Special Rapporteur. The main groups of people addressed in the communications (by number of communications sent) were: human rights defenders (30), protesters (9), prisoners (5), journalists, bloggers or writers (7), indigenous community (3), persons belonging to minorities (3), political activists (4), lesbian, gay, bisexual and transgender (LGBT) people (2), persons with albinism (1). Additionally, 29 communications were sent concerning individuals who did not belong to any particular group.
- 16. The Special Rapporteur is concerned that again in this reporting period human rights defenders feature as the group most frequently addressed in communications sent by the mandate. She recalls that States have the responsibility to ensure that human rights defenders are able to conduct their work without risks or threats to their life. States play a vital role in not only respecting and protecting the rights of human rights defenders but also in establishing preventive measures to avoid risk or harm, and in ensuring effective accountability. Moreover, States should consider the extent to which their own public response to the work of human rights defenders may be inciting violence against defenders, legitimizing a climate in which attacks can be perpetrated with impunity, or perceived as condoning such violence. As mentioned in the last reporting period, the level of

responsiveness of the State to threats against human rights defenders or their work can significantly determine the dangers they face because of their important work.<sup>2</sup>

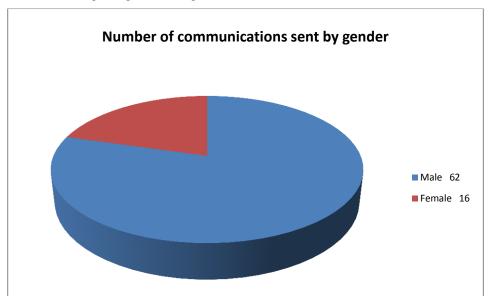


17. Overall, 78 persons were addressed individually in the communications sent in this period. This does not include the persons addressed as part of a group on which there was no information on the identity of individual victims. 37 groups of persons were the subject of communications in this period.

<sup>&</sup>lt;sup>2</sup> A/HRC/32/39/Add.3, p.23.



18. As indicated in the table below, the communications concerning male victims (62) represented approximately 63,2% of the communications sent in the reporting period while the communications concerning female victims (16) represented approximately 16,3% of the communications sent in the same period. However, numerous communications sent during the reporting period addressed the situation of groups of persons on which there was no information regarding the sex or gender of the victim.



19. The main alleged violations covered in the communications sent during the reporting period were: attacks or killings (50); violation of death penalty safeguards (31), excessive use of force (25), death threats (21), concerns about legislative frameworks (6), impunity for violations of the right to life (5), deaths in custody (4) and violations of the right to life in the context of armed conflict (1). Alleged attacks or killings feature prominently again this year in the number of communications sent, followed by alleged violations of the death penalty safeguards, excessive use of force and death threats.

- 20. States have an essential role to play in curbing levels of violence and reducing killings and attacks to life and personal security. Not only must they ensure that their agents refrain from endangering life when performing their duties, they should also protect from harm by non-State actors. This obligation includes taking all appropriate measures to prevent the deprivation of life by non-State actors, as well as to investigate, prosecute and punish non-State actors that have deprived others of their life.
- 21. Since its establishment, the mandate of the Special Rapporteur on extra-judicial, summary or arbitrary executions has focused on a variety of situations that have involved or included killings by non-State actors and has offered valuable recommendations to address or prevent them. These situations have been brought to fore in reports or observations covering issues such as, but not limited to, inter-communal killings, killings by vigilante, militias and mob justice, killings by bandits, killings by corporations, social cleansing killings, honor killings, witchcraft killings, "femicide," gender-based violence by vigilante groups, killings on the basis of gender or sexual identity, as well as killings or attacks in the context of armed conflicts.
- 22. The Special Rapporteur plans to build on the work of her predecessors and, where necessary, expand on this to reflect the changing nature of violations of the right to life. In so doing, she will seek to survey and identify good practices with regard to States' responsibilities to protect against killings by non-State actors, as well as further explore the direct human rights responsibilities of non-State actors, including, but not limited to, situations of armed conflict.



# III. Tabulation (B) of cases transmitted to States concerning alleged violations of death penalty safeguards

- 23. Because of the urgency of the cases brought to her attention, the Special Rapporteur sends many communications concerning the unlawful application of the death penalty.
- 24. In its resolution 17/5, the Human Rights Council requested the Special Rapporteur in carrying out her mandate "[t]o continue to monitor the implementation of existing international standards on safeguards and restrictions relating to the imposition of capital punishment, bearing in mind the comments made by the Human Rights Committee in its interpretation of article 6 of the International Covenant on Civil and Political Rights, as well as the Second Optional Protocol thereto". In this respect, the Special Rapporteur has included the following table on the status of individuals who were the subject of concern with regard to the application of the death penalty in the present report. She urges all concerned States to provide updated information on the status of the subjects of these urgent appeals.
- 25. Tabulation (B) provides details on the 31 cases transmitted to Governments with regards to alleged violations of death penalty safeguards, including identity of the individuals concerned, the charges brought against them, the alleged violations of death penalty safeguards, and an update on the current situation of those individuals (i.e. whether executions have taken place or not).

### A. Violations alleged

- 26. In Tabulation (B) of cases transmitted to States concerning alleged violations of death penalty safeguards, the violations are classified into the following categories:
  - (a) Fair trial concerns.
  - (b) Not "most serious crimes".
  - (c) Extraction of confession under torture / duress
  - (d) Juvenile at time of offense.
  - (e) Execution of a person with intellectual or psychosocial disability.
  - (f) Mandatory imposition of the death penalty
  - (g) Imposition of the death penalty by Federal Government for facts that occurred in abolitionist state.
  - (h) Assistance of abolitionist State in the investigation of crimes that may result in the imposition of the death penalty in another State.

### B. Tabulation (B)

Country	Date appeal sent	Date response received	Name of individual	Charge alleged	Violation alleged	Status as far as could be established
Afghanistan	03/05/2016		Mr. Anas Haqani Mr. Hafiz Abdul Rashid	Terrorism-related offences	Fair trial concerns	Remain at risk
Bahrain	15/08/2016	14/10/2016	Mr. Mohamad Ramadan	Terrorism-related offences	Fair trial concerns; extraction of confession under torture	Remains at risk
	19/01/2017	21/02/2017	Mr. Abbas al Samea	Terrorism-related	Fair trial concerns;	Mr. Abbas Al Samea, Mr.
			Mr. Ali al Singace	offences	extraction of confession under torture; juvenile at time of offense	Ali al Singace and Mr. Sami Mushaima were
			Mr. Hussein Moosa			executed on 15/01/2017.
			Mr. Sami Mushaima			Mr. Hussein Moosa and Mr. Mohamad Ramadan remain at risk.
			Mr. Mohamad Ramadan			
Bangladesh	08/04/2016	15/04/2016	Mr. Motiur Rahman Nizami	Murder and/or mass killings	Fair trial concerns	Mr. Motiur Rahman Nizami executed on 11/05/2016
	29/07/2016		Mr. Mir Quasem Ali	Crimes against humanity	Fair trial concerns	Mr. Mir Quasem Ali executed on 03/09/2016
Egypt	24/02/2017	·	Terrorism-related offences	Fair trial concerns; extraction of	Mr. Reda Motamad Fahmy Abd al Monem,	
			Mr. Ahmed Amin Ghazali		confession under torture; juvenile at	Mr. Ahmed Amin Ghazali, Mr. Mahmoud al-
			Mr. Mahmoud al-Sharif Mahmoud		time of offense	Sharif Mahmoud, Mr. Mohamed Fawzi Abd al- Gawad Mahmoud, Mr.
			Mr. Mohamed Fawzi Abd al-Gawad Mahmoud			Ahmed Mustafa Ahmed Mohamed and Mr. Abdul
			Mr. Ahmed Mustafa Ahmed			Basir Abdul Rauf remain at risk.

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Country	Date appeal sent	Date response received	Name of individual	Charge alleged	Violation alleged	Status as far as could be established
			Mohamed			
			Mr. Abdul Basir Abdul Rauf			
Indonesia	13/05/2016		Mr. Agus Hadi Mr. Humphrey Jefferson Ejike Eleweke	Drug offences	Fair trial concerns; not "most serious crimes"	Mr. Humphrey Jefferson Ejike Eleweke executed on 29/07/2016.
			Mr. Pujo Lestari (and between 7 and 12 other unnamed prisoners)			Mr. Agus Hadi and Mr. Pujo Lestari remain at risk.
	27/07/2016		Mr. Agus Hadi Mr. Eugene Ape Mr. Freddy Budiman Mr. Frederick Luttar Mr. Gurdip Singh Mr. Humphrey Jefferson Ejike Eleweke	Drug offences	Fair trial concerns; not "most serious crimes"; extraction of confession under torture;	Mr. Humphrey Jefferson Ejike Eleweke, Mr. Freddy Budiman, Mr. Michael Thitus Igweh, Mr. Seck Osmane executed on 29/07/2016.
			Ms. Merri Utami Mr. Michael Thitus Igweh Mr. Obinna Nwajagu Mr. Okonkwo Nonso Kingsley Mr. Ozias Sibanda Mr. Pujo Lestari			Mr. Agus Hadi, Mr. Frederick Luttar, Mr. Gurdip Singh, Ms. Merri Utami , Mr. Obinna Nwajagu , Mr. Okonkwo Nonso Kingsley, Mr. Ozias Sibanda, Mr. Pujo Lestari,
			Mr. Seck Osmane			Mr. Suryanto and Mr. Ozias Sibanda remain at
			Mr. Zulficar Ali <b>Mr. Suryanto</b>			risk.
Iran	08/04/2016		Mr. Rashid Kouhi	Drug offenses	Fair trial concerns; not "most serious crimes"	Mr. Rashid Kouhi executed on 09/04/2016.
	06/05/2016	04/01/2017	Identity withheld	Murder	Fair trial concerns; juvenile at time of	Remains at risk

Country	Date appeal sent	Date response received	Name of individual	Charge alleged	Violation alleged	Status as far as could be established
					offense	
	13/05/2016	26/10/2016	Identity withheld	Murder	Fair trial concerns; extraction of confession under torture; juvenile at time of offense	Remains at risk
	01/06/2016	17/11/2016	Mr. Mohammad Reza Haddadi	Murder	Fair trial concerns; juvenile at time of offense	Remains at risk
	05/08/2016		Mr. Barzan Nasrollah Zadeh	Enmity against god; murder	Fair trial concerns; juvenile at time of offense	Remains at risk
	12/10/2016	17/11/2016	Ms. Zeinab Sekaanvand Lokran	Murder	Fair trial concerns; extraction of confession under duress; juvenile at time of offense	Remains at risk
	28/12/2016	31/01/2017	Mr. Himan Ouraminejad	Murder; drug	Fair trial concerns;	Remain at risk
			Mr. Salar Shadizadi	offenses	juvenile at time of offense	
			Mr. Vali Yousef Zehi		offense	
	16/01/2017		Identity withheld	Murder	Fair trial concerns: juvenile at time of offense	Remains at risk
	31/01/2017	07/03/2017	Mr. Hamid Ahmadi	Murder	Fair trial concerns; extraction of confession under torture; juvenile at time of offense	Remains at risk
Malaysia	24/03/2016		Mr. Gunasegar Pitchaymuthu	Murder	Mandatory death penalty	Mr. Gunasegar Pitchaymuthu

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Country	Date appeal sent	Date response received	Name of individual	Charge alleged	Violation alleged	Status as far as could be established
			Mr. J Ramesh Jayakumar Mr. Sasivarnam Jayakumar			Mr. J Ramesh Jayakumar and Mr. Sasivarnam Jayakumar executed on 25/03/2016.
	23/02/2017		Mr. Suthar Batumalai Mr. B. Rames Batumalai	Murder	Fair trial concerns; mandatory death penalty	Mr. Suthar Batumalai and Mr. B. Rames Batumalai were executed on 15/03/2017
Maldives	30/06/2016		Mr. Hussain Humaam Ahmed	Murder	Fair trial concerns; extraction of confession under duress	Remains at risk
Mauritania	28/11/2016		Mr. Mohamed Cheikh Ould M'kheitir	Apostasy	Fair trial concerns; not "most serious crimes"	On the 31/01/2017, the Supreme Court decided to resend the case to the court of Nouhadibou for a new trial.
Pakistan	26/09/2016		Mr. Imdad Ali	Murder	Fair trial concerns; execution of a person with intellectual or psychological disabilities	Remains at risk
Saudi Arabia	22/03/2016	28/07/2016	Mr. Abdullah al-Zaher Mr. Ali Mohammed al- Nimr Mr. Dawoud al-Marhoon	Treason; terrorism-related offences	Fair trial concerns; extraction of confession under torture; juvenile at time of offense	Remain at risk
	01/09/2016	17/01/2017	Mr. Ali Mohammed al- Nimr Mr. Mojtaba Nader Abdullah Suwaiket Mr. Munir Al Adam	Treason; apostasy, terrorism-related offences	Fair trial concerns; extraction of confession under torture; juvenile at time of offense	Mr. Ali Mohammed al- Nimr, Mr. Mojtaba Nader Abdullah Suwaiket and Mr. Munir Al Adam remain at risk.

Country	Date appeal sent	Date response received	Name of individual	Charge alleged	Violation alleged	Status as far as could be established
			Mr. Sheikh Nimr al-Nimr			
						Sheikh Nimr al-Nimr executed on 02/01/2016.
	21/11/2016	17/01/2017	Mr. Mojtaba Nader Abdullah Suwaiket	Disobedience; attack on security forces	Fair trial concerns; extraction of confession under torture; juvenile at time of offense	Remains at risk
Singapore	18/05/2016	27/06/2016	Mr. Kho Jabing (foreign national)	Unintentional murder	Not "most serious crimes"	Mr. Kho Jabing executed on 20/05/2016
	17/11/2016	25/01/2016	Mr. Chijioke Stephen Obioha (foreign national)	Drug offenses	Not "most serious crimes"; mandatory death sentence	Mr. Chijioke Stephen Obioha executed on 18/11/2016
Sudan	03/05/2016		Identity withheld Mr. James Arol Annie Arole Identity withheld	Terrorism-related offences	Fair trial concerns; juvenile at time of offense; execution of a person with intellectual or psychological disabilities; Not "most serious crimes".	Remain at risk

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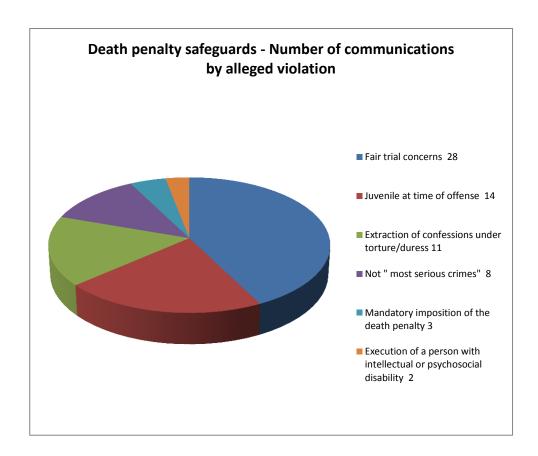
Country	Date appeal sent	Date response received	Name of individual	Charge alleged	Violation alleged	Status as far as could be established
			Identity withheld Identity withheld Identity withheld Identity withheld Identity withheld Identity withheld			
	25/08/2016		Identity withheld  Mr. Alhassan Kheiri Ms. Arwa Elrabie Ms. Imany Leyla Raye Mr. Khalafalla Mukhtar Mr. Midhat Hamadan Mr. Mustafa Adam	Terrorism-related offences	Fair trial concerns, Not "most serious crimes".	Mr. Alhassan Kheiri, Ms. Arwa Elrabie and Ms. Imany Leyla Raye had their charges dropped on 19/01/2017. Mr. Khalafalla Mukhtar, Mr. Midhat Hamadan and Mr. Mustafa Adam werereleased on
USA	28/10/2016	13/12/2016	Mr. Kevin Cooper	Murder	Fair trial concerns	06/03/2017 after paying the fines imposed.  Remains at risk
	03/11/2016		Mr. Thomas Arthur	Murder	Fair trial concerns	Executed on 25/05/2017

## C. Replies received to communications sent outside the reporting period

Country	Date appeal sent	Date response received	Name of individual	Charge alleged	Violation alleged	Status as far as could be established
Iran	11/11/2015	04/05/2016	Mr. Shahram Ahmadi Mr. Milad Azimiand Mr. Mohammad Ali Zehi	Enmity against god; murder; drug offenses	Fair trial concerns; extraction of confession under torture; juvenile at time of offense	Mr. Shahram Ahmadiexecuted on 02/08/2016.
						Mr. Milad Azimiand and Mr. Mohammad Ali Zehi remain at risk
	20/01/2016	07/07/2016	Ms. Fariba Khalegi	Adultery	Fair trial concerns; not "most serious crimes"	Remains at risk
Saudi Arabia	30/09/2015	04/05/2016	Mr. Husain Abu al Khair (foreign national)	Drug offenses	Fair trial concerns; not "most serious crimes"; extraction of confession under torture	Remains at risk
Singapore	30/10/2015	27/06/2016	Mr. Kho Jabing (foreign national)	Unintentional murder	Fair trial concerns; not most serious crimes;	Mr. Kho Jabing executed on 20/05/2016
Viet Nam	30/10/2015	19/04/2017	Mr. Le Van Manh	Murder	Fair trial concerns; extraction of confession under torture	Remains at risk

### **D.** Observations on Tabulation (B)

- 27. It should be noted that the communications the Special Rapporteur sends to States on the subject of the death penalty are followed-up upon on the basis of a desk-based review. The Special Rapporteur expresses her continued gratitude to various civil society and advocacy organizations that facilitate this follow up. Establishing, at the very least, whether an individual has indeed been executed subsequent to a communication transmitted to the Government provides a helpful reference to whether the sending of these urgent appeals is effective in ensuring that States abide by international standards, prospectively, in their application of the death penalty. According to available information, executions were registered in 8 of the 14 countries addressed in Tabulation (B).
- 28. As indicated in the table below, the main alleged violations covered in the cases transmitted to Governments during the reporting period were: fair trial concerns in judicial procedures leading to the imposition of the death penalty (28); juvenile at time of offense (14), extraction of confessions under torture/duress (11); the imposition of the death penalty for crimes which do not meet the threshold of the "most serious crimes" (8); mandatory imposition of the death penalty (3), and execution of a person with intellectual or psychosocial disability (2).



29. The Special Rapporteur continuous to be alarmed at the number of cases in which the death sentence was allegedly imposed following judicial procedures that fall short of international standards of fair trial and due process, a necessary requirement for the lawful imposition of this type of punishment. Twenty-eight out of the 31 communications

considered in Tabulation (B) address this issue. The Special Rapporteur wishes to remind States that have retained this form of punishment that the death penalty may only be carried out following a legal process that provides all possible safeguards to ensure a fair trial, and that only full respect of these guarantees distinguishes capital punishment as possibly permitted under international law from an arbitrary execution.

- 30. She is also concerned that in eight communications sent to States, the conviction of the defendant relied upon confessions extracted under torture. This manifestly undermines the credibility that the sentence was imposed during a fair trial. The extraction of confessions under torture and the acceptance of such confessions as evidence of guilt constitute flagrant violations of both the International Covenant on Civil and Political Rights and the Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment.
- 31. The Special Rapporteur is further concerned that again during this reporting period, several communications addressed the imposition of the death penalty for offences that do not meet the threshold of "most serious crime", in particular for drug offenses, terrorism related offences, adultery, unintentional murder, disobedience, treason, apostasy and "enmity against god". Amongst those, drug and terrorism related offences were the charges most frequently imposed. In this regard, the Special Rapporteur would like to restate that under international law, the death penalty may only be imposed for the "most serious crimes" which has been interpreted to mean "intentional killing". Drug related offences and many terrorism-related offences do not involve killing, much less intentional killing, and as such may not constitute the basis of any sentence to death.
- 32. The Special Rapporteur has also frequently sent communications regarding the planned execution of individuals who must be protected from the death penalty (16): most commonly those suffering from a psycho-social disability (2), or those who have been convicted for crimes committed as juveniles, in some cases those who are still juveniles (14 communications). In this latter case, the Special Rapporteur underlines that the burden of proof should rest on the prosecution to demonstrate that a defendant was an adult at the time of the commission of the alleged crime.
- 33. The Special Rapporteur would like to restate, as did her predecessors, that any death sentence undertaken in contravention of a Government's international obligations is tantamount to an arbitrary execution.

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